# A CHARACTERIZATION OF DEPTH 2 SUBFACTORS OF $II_1$ FACTORS

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#### Abstract

We characterize finite index depth 2 inclusions of type  $\mathrm{II}_1$  factors in terms of actions of weak Kac algebras and weak  $C^*$ -Hopf algebras. If  $N \subset M \subset M_1 \subset M_2 \subset \ldots$  is the Jones tower constructed from such an inclusion  $N \subset M$ , then  $B = M' \cap M_2$  has a natural structure of a weak  $C^*$ -Hopf algebra and there is a minimal action of B on  $M_1$  such that M is the fixed point subalgebra of  $M_1$  and  $M_2$  is isomorphic to the crossed product of  $M_1$  and B. This extends the well-known results for irreducible depth 2 inclusions.

### 1 Introduction

Let  $N \subset M$  be a finite index depth 2 inclusion of type II<sub>1</sub> factors and  $N \subset M \subset M_1 \subset M_2 \subset ...$  the corresponding Jones tower. It was announced by A. Ocneanu and was proved in [18], [3], [10] that if  $N \subset M$  is irreducible, i.e., such that  $N' \cap M = \mathbb{C}$ , then  $B = M' \cap M_2$  has a natural structure of a finite-dimensional Kac algebra and there is a canonical outer action of B on  $M_1$  such that  $M = M_1^B$ , the fixed point subalgebra of  $M_1$  with respect to this action, and  $M_2$  is isomorphic to the crossed product  $M_1 \bowtie B$ . The outerness condition is equivalent to the relative commutant  $M'_1 \cap M_1 \bowtie B$  being trivial (such actions are also called minimal). In the case of an infinite index a similar description in terms of multiplicative unitaries and quantum groups was obtained in [4].

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In this work we extend the above result to (in general, reducible, i.e., such that  $\mathbb{C} \subset N' \cap M$ ) finite index depth 2 inclusions of type II<sub>1</sub> factors. We replace usual Kac algebras (Hopf  $C^*$ -algebras) by weak Kac algebras [11] or weak  $C^*$ -Hopf algebras [2]. A weak Kac algebra is a special case of a weak  $C^*$ -Hopf algebra characterized by the property  $S^2 = \mathrm{id}$ . Weak Kac algebras naturally arise in the situations when the index [M:N] is integer, e.g., when the inclusion is given by the crossed product with a usual Kac algebra. It was shown in [11] that the category of weak Kac algebras is equivalent to those of generalized Kac algebras of T. Yamanouchi [21] (another proof of that can be found in [14]) and of Kac bimodules (an algebraic version of Hopf bimodules of J.-M. Vallin [19]). The advantage of the language of weak Kac algebras and weak  $C^*$ -Hopf algebras is that their defining axioms are clearly self-dual, so it is easy to work with both weak Kac algebra (weak  $C^*$ -Hopf algebra) and its dual simultaneously.

Let us mention that a possibility of characterizing finite index depth 2 inclusions in terms of weak  $C^*$ -Hopf algebras was suggested in [13]. For an arbitrary (possibly infinite) index M. Enock and J.-M. Vallin have obtained a similar description in terms of pseudo-multiplicative unitaries [5].

The paper is organized as follows.

In Section 2 (Preliminaries) we briefly review, following [11], [2] and [13], the basic definitions and facts of the theory of weak Kac algebras and weak  $C^*$ -Hopf algebras, including their actions on von Neumann algebras.

Section 3 is devoted to establishing a non-degenerate duality between the finite dimensional  $C^*$ -algebras  $A = N' \cap M_1$  and  $B = M' \cap M_2$ , which gives a natural coalgebra structures on them.

In Sections 4 and 5 we investigate the relations between algebra and coalgera structures on B, following the general strategy of Szymanski's reasoning [18] based on the above duality. It turns out that the square of the corresponding antipode is implemented by a positive invertible element determined by Index  $\tau|_{M'\cap M_1}$ , the Watatani index [20] of the restriction of the Markov trace  $\tau$  on  $M'\cap M_1$ . That is why it is natural to consider the cases of scalar and non-scalar Index  $\tau|_{M'\cap M_1}$  in which the antipode is respectively involutive and non-involutive. The main result here is that in the mentioned cases B and A are biconnected weak Kac algebras and weak  $C^*$ -Hopf algebras respectively (they are usual Kac algebras iff the inclusion  $N \subset M$  is irreducible). We also prove in Section 4, that if [M:N] is an integer which has no divisors of the form  $n^2$ , n > 1, then the inclusion is irreducible and B is a Kac algebra acting outerly on  $M_1$ . In particular, if [M:N] = p is

prime, then B must be the group algebra of the cyclic group G = Z/pZ.

Finally, in Section 6 we show that there exists a canonical (left) minimal action of B on  $M_1$  such that M is the fixed point subalgebra of  $M_1$  with respect to this action, and  $M_2$  is isomorphic to  $M_1 \bowtie B$ , the crossed product of  $M_1$  and B. The minimality condition means that the relative commutant  $M'_1 \cap M_1 \bowtie B$  is minimal possible, in which case it is isomorphic to the Cartan subalgebra  $B_s \subset B$ .

It is important to stress that in the above situation one can take

$$\begin{array}{ccc} B^* & \subset & B^* \rtimes B \\ \cup & & \cup \\ B^* \cap B & \subset & B, \end{array}$$

where  $B^* = A$ , as a canonical commuting square [17] of the inclusion  $M_1 \subset M_2$ . The above square, and thus the equivalence class of inclusions, is completely determined by B. This implies that every biconnected weak  $C^*$ -Hopf algebra has at most one minimal action on a given  $\Pi_1$  factor and thus correspond to no more than one (up to equivalence) finite index depth 2 subfactor. Note that any biconnected weak Kac algebra admits a unique minimal action on the hyperfinite  $\Pi_1$  factor [12].

Let us remark that this characterization of depth 2 inclusions means that weak Kac algebras provide a good setting for studying actions of usual Kac algebras on  $II_1$  factors, since any (not necessarily minimal) action of a Kac algebra produces a depth 2 inclusion and one can canonically associate with this action a weak Kac algebra completely describing it. More details on this will be published elsewhere.

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### 2 Preliminaries

Our main references to finite dimensional weak  $C^*$ -Hopf algebras are [2] and [14]. Weak Kac algebras, a special case of this notion characterized by the property  $S^2 = \mathrm{id}$ , were considered in [11]. These objects generalize both finite groupoid algebras and usual Kac algebras.

A weak Kac algebra B is a finite dimensional  $C^*$ -algebra equipped with the comultiplication  $\Delta: B \to B \otimes B$ , counit  $\varepsilon: B \to \mathbb{C}$ , and antipode  $S: B \to B$ , such that  $(\Delta, \varepsilon)$  defines a coalgebra structure on B and the following axioms hold for all  $b, c \in B$  (we use Sweedler's notation  $\Delta(b) = b_{(1)} \otimes b_{(2)}$  for the comultiplication):

(1)  $\Delta$  is a \*-preserving (but not necessarily unital) homomorphism:

$$\Delta(bc) = \Delta(b)\Delta(c), \quad \Delta(b^*) = \Delta(b)^{*\otimes *},$$

(2) The target counital map  $\varepsilon^t$ , defined by  $\varepsilon^t(b) = \varepsilon(1_{(1)}b)1_{(2)}$ , satisfies the relations

$$b\varepsilon^t(c) = \varepsilon(b_{(1)}c)b_{(2)}, \qquad b_{(1)} \otimes \varepsilon^t(b_{(2)}) = 1_{(1)}b \otimes 1_{(2)},$$

(3) S is an anti-algebra and anti-coalgebra map such that  $S^2 = \mathrm{id}$ ,  $(S \circ *) = (* \circ S)$ , and

$$b_{(1)}S(b_{(2)}) = \varepsilon^t(b).$$

If instead of the conditions  $S^2 = id$  and  $(S \circ *) = (* \circ S)$  we have a less restrictive property  $(S \circ *)^2 = id$ , then B is called a weak  $C^*$ -Hopf algebra.

Note that the axioms (2) and (3) above are equivalent to the following axioms for the source counital map  $\varepsilon^s(b) = 1_{(1)}\varepsilon(b1_{(2)})$ :

(2') 
$$\varepsilon^s(c)b = b_{(1)}\varepsilon(cb_{(2)}), \qquad \varepsilon^s(b_{(1)}) \otimes b_{(2)} = 1_{(1)} \otimes b1_{(2)},$$

(3') 
$$S(b_{(1)})b_{(2)} = \varepsilon^s(b)$$
.

The dual vector space  $B^*$  has a natural structure of a weak Kac algebra (weak  $C^*$ -Hopf algebra) given by dualizing the structure operations of B, see [2], [11].

The main difference between weak Kac ( $C^*$ -Hopf) algebras and classical Kac algebras is that the images of the counital maps are, in general, non-trivial unital  $C^*$ -subalgebras of B, called C-artan subalgebras (note that we have  $\varepsilon^t \circ \varepsilon^t = \varepsilon^t$  and  $\varepsilon^s \circ \varepsilon^s = \varepsilon^s$ ):

$$B_t = \{x \in B \mid \varepsilon^t(x) = x\} = \{x \in B \mid \Delta(x) = x1_{(1)} \otimes 1_{(2)} = 1_{(1)}x \otimes 1_{(2)}\},$$
  

$$B_s = \{x \in B \mid \varepsilon^s(x) = x\} = \{x \in B \mid \Delta(x) = 1_{(1)} \otimes x1_{(2)} = 1_{(1)} \otimes 1_{(2)}x\}.$$

The Cartan subalgebras commute:  $[B_t, B_s] = 0$ , also we have  $S \circ \varepsilon^s = \varepsilon^t \circ S$  and  $S(B_t) = B_s$ . We say that B is connected [12] if  $B_t \cap Z(B) = \mathbb{C}$  (where Z(B) denotes the center of B), i.e., if the inclusion  $B_t \subset B$  is connected. B is connected iff  $B_t^* \cap B_s^* = \mathbb{C}$  ([12], Proposition 3.11). We say that B is biconnected if both B and  $B^*$  are connected.

Weak Kac ( $C^*$ -Hopf) algebras have integrals in the following sense.

There exists a unique projection  $p \in B$ , called a *Haar projection*, such that for all  $x \in B$ :

$$xp = \varepsilon^t(x)p$$
,  $S(p) = p$ ,  $\varepsilon^t(p) = 1$ .

There exists a unique positive functional  $\phi$  on B, called a normalized Haar functional (which is a trace iff B is a weak Kac algebra), such that

$$(\mathrm{id} \otimes \phi)\Delta = (\varepsilon^t \otimes \phi)\Delta, \quad \phi \circ S = S, \quad \phi \circ \varepsilon^t = \varepsilon.$$

The following notions of action, crossed product, and fixed point subalgebra were introduced in [13].

A (left) action of a weak Kac ( $C^*\mbox{-}{\rm Hopf}$  algebra) B on a von Neumann algebra M is a linear map

$$B \otimes M \ni b \otimes x \mapsto (b \triangleright x) \in M$$

defining a structure of a left B-module on M such that for all  $b \in B$  the map  $b \otimes x \mapsto (b \triangleright x)$  is weakly continuous and

- (1)  $b \triangleright xy = (b_{(1)} \triangleright x)(b_{(2)} \triangleright y),$
- (2)  $(b \triangleright x)^* = S(b)^* \triangleright x^*$ ,
- (3)  $b \triangleright 1 = \varepsilon^t(b) \triangleright 1$ , and  $b \triangleright 1 = 0$  iff  $\varepsilon^t(b) = 0$ .

A crossed product algebra  $M >\!\!\!\!> B$  is constructed as follows. As a  $\mathbb{C}$ -vector space it is  $M \otimes_{B_t} B$ , where B is a left  $B_t$ -module via multiplication and M is a right  $B_t$ -module via multiplication by the image of  $B_t$  under  $z \mapsto (z \triangleright 1)$ ; that is, we identify

$$x(z \triangleright 1) \otimes b \equiv x \otimes zb$$

for all  $x \in M$ ,  $b \in B$ ,  $z \in B_t$ . Let  $[x \otimes b]$  denote the class of  $x \otimes b$ . A \*-algebra structure on  $M \bowtie B$  is defined by

$$[x \otimes b][y \otimes c] = [x(b_{(1)} \triangleright y) \otimes b_{(2)}c], \quad [x \otimes b]^* = [(b_{(1)}^* \triangleright x^*) \otimes b_{(2)}^*]$$

for all  $x, y \in A$ ,  $b, c \in B$ . It is possible to show that this abstractly defined \*-algebra  $M \bowtie B$  is \*-isomorphic to a weakly closed algebra of operators on some Hilbert space [13], i.e.,  $M \bowtie B$  is a von Neumann algebra.

The collection  $M^B = \{x \in M \mid b \triangleright x = \varepsilon^t(b) \triangleright x, \ \forall b \in B\}$  is a von Neumann subalgebra of M, called a fixed point subalgebra.

The relative commutant  $M' \cap M \rtimes B$  always contains a \*-subalgebra isomorphic to  $B_s$ . Indeed, if  $z \in B_s$ , then it follows easily from the axioms of a weak  $C^*$ -Hopf algebra that  $\Delta(z) = 1_{(1)} \otimes 1_{(2)}z$ , therefore

$$[1 \otimes z][x \otimes 1] = [(z_{(1)} \triangleright x) \otimes z_{(2)}] = [(1_{(1)} \triangleright x) \otimes 1_{(2)}z]$$
  
=  $[x \otimes z] = [x \otimes 1][1 \otimes z],$ 

for all  $x \in M$ , and  $B_s \subset M' \cap M \rtimes B$ . We say that the action  $\triangleright$  is minimal if  $B_s = M' \cap M \rtimes B$ .

## 3 Duality between relative commutants

Let  $N \subset M$  be a depth 2 inclusion of type II<sub>1</sub> factors with a finite index  $[M:N] = \lambda^{-1}$  and

$$N \subset M \subset M_1 \subset M_2 \subset \cdots$$

be the corresponding Jones tower,  $M_1 = \langle M, e_1 \rangle$ ,  $M_2 = \langle M_1, e_2 \rangle$ ,..., where  $e_1 \in N' \cap M_1$ ,  $e_2 \in M' \cap M_2$ ,... are the Jones projections. The depth 2 condition means that  $N' \cap M_2$  is the basic construction of the inclusion  $N' \cap M \subset N' \cap M_1$ . Let  $\tau$  be the normalized (Markov) trace on  $M_2$ .

With respect to this trace, the square of algebras in the upper right corner of the diagram below

$$N' \cap M \subset N' \cap M_1 \subset N' \cap M_2$$
 $\cup \qquad \cup$ 
 $M' \cap M_1 \subset M' \cap M_2$ 
 $\cup$ 
 $M'_1 \cap M_2.$ 

is commuting  $(E_{M_1} \circ E_{M'} = E_{M'} \circ E_{M_1}$  on  $N' \cap M_2$ ) and non-degenerate, i.e.,  $N' \cap M_2 = (N' \cap M_1)(M' \cap M_2)$ . This square is called a standard (or canonical) commuting square of the inclusion  $M_1 \subset M_2$  [17].

Let us denote

$$A = N' \cap M_1, \qquad B = M' \cap M_2,$$
  

$$A_t = N' \cap M, \qquad A_s = M' \cap M_1 = B_t, \qquad B_s = M'_1 \cap M_2.$$

Note that  $A_t$  commutes with B,  $B_s$  commutes with A, and  $A \cap B = A_s = B_t$ . The next lemma will be frequently used in the sequel without specific reference. **Lemma 3.1**  $(N' \cap M_2)e_2 = Ae_2$  and  $(N' \cap M_2)e_1 = Be_1$ . More precisely, for any  $x \in N' \cap M_2$  we have

$$xe_2 = \lambda^{-1} E_{M_1}(xe_2)e_2, \qquad xe_1 = \lambda^{-1} E_{M'}(xe_1)e_1.$$

*Proof.* This statement is a special case of ([15], Lemma 1.2) since  $N' \cap M_2$  is the basic construction for the inclusions  $N' \cap M \subset N' \cap M_1$  and  $M'_1 \cap M_2 \subset M' \cap M_2$  with the corresponding Jones projections  $e_2$  and  $e_1$  respectively.

Let us denote  $d = \dim(M' \cap M_1)$ .

### **Proposition 3.2** The form

$$\langle a, b \rangle = d\lambda^{-2} \tau(ae_2e_1b), \quad a \in A, b \in B$$

defines a non-degenerate duality between A and B.

*Proof.* If  $a \in A$  is such that  $\langle a, B \rangle = 0$ , then

$$\tau(ae_2e_1B) = \tau(ae_2e_1(N' \cap M_2)) = 0,$$

therefore, using the Markov property of  $\tau$  and properties of Jones projections, we get

$$\tau(aa^*) = \lambda^{-1}\tau(ae_2a^*) = \lambda^{-2}\tau(ae_2e_1(e_2a^*)) = 0,$$

so a = 0. Similarly for  $b \in B$ .

**Definition 3.3** Using the form <, > define the comultiplication  $\Delta_B$ , counit  $\varepsilon_B$ , and antipode  $S_B$  as follows:

$$\Delta_B: B \to B \otimes B: \qquad \langle a_1 a_2, b \rangle = \langle a_1, b_{(1)} \rangle \langle a_2, b_{(2)} \rangle,$$

$$\varepsilon_B: B \to \mathbb{C}: \qquad \varepsilon_B(b) = \langle 1, b \rangle = \lambda^{-1} d\tau(be_2),$$

$$S_B: B \to B: \qquad \langle a, S_B(b) \rangle = \overline{\langle a^*, b^* \rangle},$$

for all  $a, a_1, a_2 \in A$  and  $b \in B$ . Similarly, we define  $\Delta_A, \varepsilon_A$ , and  $S_A$ .

Clearly,  $(B, \Delta_B, \varepsilon_B)$  (resp.  $(A, \Delta_A, \varepsilon_A)$ ) becomes a coalgebra. Let us investigate the relations between the algebra and coalgebra structures on B.

# 4 Weak Kac algebra structure on $M' \cap M_2$ (the case of a scalar Watatani index of $\tau|_{M' \cap M_1}$ )

**Lemma 4.1** For all  $a \in A$  and  $b_1, b_2 \in B$  we have

$$\langle a, b_1b_2 \rangle = \lambda^{-1} \langle E_{M_1}(b_2ae_2), b_1 \rangle.$$

*Proof.* Using the definition of <, > we have

$$\langle a, b_1 b_2 \rangle = d\lambda^{-2} \tau(b_2 a e_2 e_1 b_1) = d\lambda^{-3} \tau(E_{M_1}(b_2 a e_2) e_2 e_1 b_1)$$
  
=  $\lambda^{-1} \langle E_{M_1}(b_2 a e_2), b_1 \rangle$ .

**Proposition 4.2** Let  $\varepsilon_B^t(b) = \varepsilon_B(1_{(1)}b)1_{(2)}$ . Then  $\varepsilon_B^t(b) = \lambda^{-1}E_{M_1}(be_2)$  and

$$\langle a, \varepsilon_B^t(b) \rangle = d\lambda^{-2} \tau(ae_1be_2) = \lambda^{-1} \langle E_M(ae_1), b \rangle.$$

*Proof.* Using Lemma 4.1, definitions of  $\Delta_B$  and  $\varepsilon_B$ , we have

$$< a, \, \varepsilon_B(1_{(1)}b)1_{(2)}> = <1, \, 1_{(1)}b>< a, \, 1_{(2)}>$$

$$= <\lambda^{-1}E_{M_1}(be_2), \, 1_{(1)}>< a, \, 1_{(2)}>$$

$$= <\lambda^{-1}E_{M_1}(be_2)a, \, 1> = < a, \, \lambda^{-1}E_{M_1}(be_2)>,$$

from where the first statement follows. For the second one, we have, using the  $\lambda$ -Markov property and the fact that  $e_2$  commutes with M,

$$< a, \lambda^{-1} E_{M_1}(be_2) > = d\lambda^{-2} \tau(ae_1e_2\lambda^{-1} E_{M_1}(be_2))$$
  
 $= d\lambda^{-2} \tau(ae_1\lambda^{-1} E_{M_1}(be_2)e_2)$   
 $= d\lambda^{-2} \tau(ae_1be_2) = d\lambda^{-3} \tau(E_M(ae_1)e_1be_2)$   
 $= d\lambda^{-3} \tau(E_M(ae_1)e_2e_1b) = \lambda^{-1} < E_M(ae_1), b >.$ 

**Proposition 4.3** For all  $b, c \in B$  we have

$$b_{(1)} \otimes \varepsilon_B^t(b_{(2)}) = 1_{(1)}b \otimes 1_{(2)}, \qquad b\varepsilon_B^t(c) = \varepsilon_B(b_{(1)}c)b_{(2)}.$$

*Proof.* For all  $a_1, a_2 \in A$  we compute, using Lemma 4.1 and Proposition 4.2:

$$\langle a_1, b_{(1)} \rangle \langle a_2, \varepsilon_B^t(b_{(2)}) \rangle = \langle a_1 \lambda^{-1} E_M(a_2 e_1), b \rangle$$

$$= \lambda^{-2} \langle E_{M_1}(ba_1 E_M(a_2 e_1) e_2), 1 \rangle$$

$$= \lambda^{-2} \langle E_{M_1}(ba_1 e_2) E_M(a_2 e_1), 1 \rangle$$

$$= d\lambda^{-3} \tau (E_{M_1}(ba_1 e_2) E_M(a_2 e_1) e_1)$$

$$= d\lambda^{-2} \tau (E_{M_1}(ba_1 e_2) a_2 e_1)$$

$$= \lambda^{-1} \langle E_{M_1}(ba_1 e_2) a_2, 1 \rangle$$

$$= \langle \lambda^{-1} E_{M_1}(ba_1 e_2), 1_{(1)} \rangle \langle a_2, 1_{(2)} \rangle$$

$$= \langle a_1, 1_{(1)} b \rangle \langle a_2, 1_{(2)} \rangle,$$

$$\langle a, b \varepsilon_B^t(c) \rangle = \langle \varepsilon_B^t(c) a, b \rangle$$

$$= \langle \lambda^{-1} E_{M_1}(ce_2) a, b \rangle$$

Since the duality is non-degenerate, the result follows.

The antipode map assigns to each  $b \in B$  a unique element  $S_B(b) \in B$  such that  $\tau(ae_2e_1S_B(b)) = \tau(be_1e_2a)$  for all  $a \in A$ , or, equivalently,

$$E_{M_1}(be_1e_2) = E_{M_1}(e_2e_1S_B(b)).$$

Taking  $a = e_1$  and using the  $\lambda$ -Markov property of  $e_1$  we get  $\tau \circ S_B = \tau$ . Similarly,  $E_{M'}(S_A(a)e_2e_1) = E_{M'}(e_1e_2a)$  and  $\tau \circ S_A = \tau$ .

**Remark 4.4** Note that the condition  $E_{M_1}(be_1e_2) = E_{M_1}(e_2e_1S_B(b))$  implies that

$$E_{M_1}(bxe_2) = E_{M_1}(e_2xS_B(b))$$
 for all  $x \in M_1$ .

Indeed, any  $x \in M_1$  can be written as  $x = \sum x_i e_1 y_i$  with  $x_i, y_i \in M \subset B'$ . Similarly, we have

$$E_{M'}(S_A(a)ye_1) = E_{M'}(e_1ya)$$
 for all  $y \in M'$ .

**Proposition 4.5** The following identities hold:

(i) 
$$S_B(b) = \lambda^{-3} E_{M'}(e_1 e_2 E_{M_1}(b e_1 e_2)),$$

(ii) 
$$S_B(B_s) = B_t$$
,

(iii) 
$$S_B^2(b) = b$$
 and  $S_B(b)^* = S_B(b^*)$ ,

(iv) 
$$S_B(bc) = S_B(c)S_B(b)$$
 and  $\Delta_B(S_B(b)) = \varsigma(S_B \otimes S_B)\Delta_B(b)$ .

*Proof.* (i) We have

$$S_B(b) = \lambda^{-1} E_{M'}(e_1 S_B(b)) = \lambda^{-2} E_{M'}(e_1 e_2 e_1 S_B(b))$$
  
=  $\lambda^{-3} E_{M'}(e_1 e_2 E_{M_1}(e_2 e_1 S_B(b))) = \lambda^{-3} E_{M'}(e_1 e_2 E_{M_1}(be_1 e_2)).$ 

(ii) If  $z \in B_s$  then  $ze_2 = e_2 z$  and by the explicit formula (i) we get,

$$S_B(z) = \lambda^{-3} E_{M'}(e_1 e_2 E_{M_1}(e_1 z e_2)) = \lambda^{-2} E_{M'}(e_1 E_{M_1}(z e_2))$$
  
=  $\lambda^{-1} E_{M_1}(z e_2) = \varepsilon_B^t(z) \in B_t.$ 

(iii) Since  $E_{M_1}$  preserves \*, we get  $E_{M_1}(e_2e_1b^*) = E_{M_1}(S_B(b)^*e_2e_1)$ , from where  $S_B(S_B(b)^*)^* = b$ . Next, using Lemma 4.1, Remark 4.4, and the  $\lambda$ -Markov property of  $e_2$ , we compute

$$\tau(ae_2e_1b) = \lambda^{-1}\tau(E_{M_1}(bae_2)e_2e_1) = \lambda^{-1}\tau(E_{M_1}(e_2aS_B(b))e_2e_1)$$

$$= \lambda^{-1}\tau(e_2E_{M_1}(e_2aS_B(b))e_1) = \tau(e_2aS_B(b)e_1)$$

$$= \tau(S_B(b)e_1e_2a) = \tau(ae_2e_1S_B^2(b)).$$

therefore,  $S_B^2(b) = b$  and  $S_B(b)^* = S_B(b^*)$ .

(iv) Using Remark 4.4, we have

$$\tau(ae_{2}e_{1}S_{B}(bc)) = \tau(bce_{1}e_{2}a) = \lambda^{-1}\tau(ce_{1}e_{2}E_{M_{1}}(e_{2}ab)) 
= \lambda^{-1}\tau(E_{M_{1}}(e_{2}ab)e_{2}e_{1}S_{B}(c)) 
= \lambda^{-1}\tau(E_{M_{1}}(S_{B}(b)ae_{2})e_{2}e_{1}S_{B}(c)) 
= \tau(ae_{2}e_{1}S_{B}(c)S_{B}(b)),$$

which proves that  $\langle a, S_B(bc) \rangle = \langle a, S_B(c)S_B(b) \rangle$ . Similarly, one can prove that  $S_A$  is anti-multiplicative, and since  $\langle a, S_B(b) \rangle = \langle S_A(a), b \rangle$ , the second part of (iv) follows.

Let  $\{f_{kl}^{\alpha}\}$  be a system of matrix units in  $B_t = M' \cap M_1 = \bigoplus_{\alpha} M_{m_{\alpha}}(\mathbb{C})$ , where  $\sum m_{\alpha}^2 = d$ , and let  $\tau_{\alpha} = \tau(f_{kk}^{\alpha})$ .

**Proposition 4.6** The explicit formula for  $\Delta_B(1)$  is

$$\Delta_B(1) = \sum_{\alpha k l} \frac{1}{d\tau_\alpha} S_B(f_{kl}^\alpha) \otimes f_{lk}^\alpha.$$

In particular,  $\Delta_B(1)$  is a positive element in  $B_s \otimes B_t$ .

*Proof.* Note that the map  $x \mapsto \sum_{\alpha kl} \frac{\tau(xf_{lk}^{\alpha})}{\tau_{\alpha}} f_{kl}^{\alpha}$  defines the  $\tau$ -preserving conditional expectation on  $B_t$ . For all  $a_1, a_2 \in A$  we have

$$\sum_{\alpha k l} \frac{1}{d\tau_{\alpha}} \langle a_{1}, S_{B}(f_{k l}^{\alpha}) \rangle \langle a_{2}, f_{l k}^{\alpha} \rangle =$$

$$= d^{2} \lambda^{-4} \sum_{\alpha k l} \frac{1}{d\tau_{\alpha}} \tau(a_{1} e_{2} e_{1} S_{B}(f_{k l}^{\alpha})) \tau(a_{2} e_{2} e_{1} f_{l k}^{\alpha})$$

$$= d\lambda^{-3} \sum_{\alpha k l} \tau(f_{k l}^{\alpha} e_{1} e_{2} a_{1}) \frac{\tau(a_{2} e_{1} f_{l k}^{\alpha})}{\tau_{\alpha}}$$

$$= d\lambda^{-3} \tau(E_{M'}(a_{2} e_{1}) e_{1} e_{2} a_{1})$$

$$= d\lambda^{-2} \tau(a_{1} a_{2} e_{1} e_{2}) = \langle a_{1} a_{2}, 1 \rangle,$$

which proves the statement.

Corollary 4.7  $\Delta_B(1) = \sum_{\alpha kl} \frac{1}{m_\alpha} S_B(f_{kl}^\alpha) \otimes f_{lk}^\alpha H$ , where H is canonically defined by

$$H = S_B(1_{(1)})1_{(2)} = \frac{1}{d} \sum_{\alpha} \frac{m_{\alpha}}{\tau_{\alpha}} \sum_{k} f_{kk}^{\alpha} = \frac{1}{d} Index \tau|_{M' \cap M_1} \in Z(B_t),$$

where  $Index \tau|_{M'\cap M_1}$  is the Watatani index [20] of the restriction of  $\tau$  to  $M'\cap M_1$  and  $Z(\cdot)$  denotes the center of the algebra. We also have  $\tau(H)=1$ .

**Proposition 4.8** For all  $b \in B$  we have  $\varepsilon_B^t(b_{(1)})b_{(2)} = Hb$ .

*Proof.* Applying  $E_{M'}$  to both sides of  $E_{M_1}(b^*e_1e_2) = E_{M_1}(e_2e_1S_B(b^*))$  and using the relation  $E_{M_1} \circ E_{M'} = E_{M'} \circ E_{M_1}$ , we get

$$E_{M_1}(b^*e_2) = E_{M_1}(e_2S_B(b^*))$$

which means that  $\varepsilon_B^t(b^*) = \varepsilon_B^t(S_B(b))^*$ . Using Propositions 4.3, 4.5(iv), and Corollary 4.7 we get  $S_B(b_{(1)})\varepsilon_B^t(b_{(2)}) = S_B(b)H$ , from where  $HS_B(b^*) = \varepsilon_B^t(b_{(2)})^*S(b_{(1)}^*)$ . Replacing  $S_B(b^*)$  by b, we get the result.

Let  $\{s_{jk}^{\alpha}\}$  be a basis consisting of matrix units of A and  $\{v_{jk}^{\alpha}\}$  be a basis of comatrix units of B dual to each other, i.e.,

$$\langle v_{jk}^{\alpha}, s_{pq}^{\beta} \rangle = \delta_{\alpha\beta} \, \delta_{jp} \, \delta_{kq}.$$

We have  $\Delta_B(v_{ik}^{\alpha}) = \sum_l v_{il}^{\alpha} \otimes v_{lk}^{\alpha}$  and  $\varepsilon_B(v_{ik}^{\alpha}) = \delta_{jk}$ .

**Lemma 4.9** Let  $/\alpha/=\tau(s_{kk}^{\alpha})$ . The following identities hold true:

(i) 
$$E_{M_1}(e_2e_1v_{jk}^{\alpha}) = d^{-1}\lambda^2/\alpha/^{-1}s_{kj}^{\alpha}$$
,

(ii) 
$$E_{M_1}(v_{ik}^{\alpha}e_1e_2) = d^{-1}\lambda^2/\alpha/^{-1}S_A(s_{ki}^{\alpha}),$$

(iii) 
$$\lambda^{-1}E_{M'}(S_A(s_{pq}^{\beta})v_{ij}^{\alpha}e_1) = \delta_{\alpha\beta}\delta_{ip}v_{qj}^{\alpha},$$

(iv) 
$$S_B(v_{jk}^{\alpha}) = (v_{kj}^{\alpha})^*$$
.

Proof. (i) We can directly compute:

$$d\lambda^{-2}/\alpha/\tau(s_{pq}^{\beta}E_{M_1}(e_2e_1v_{jk}^{\alpha})) = /\alpha/\langle s_{pq}^{\beta}, v_{jk}^{\alpha} \rangle$$
$$= /\alpha/\delta_{\alpha\beta} \delta_{jp} \delta_{kq}$$
$$= \tau(s_{kj}^{\alpha}s_{pq}^{\beta}),$$

therefore, we have  $E_{M_1}(e_2e_1v_{jk}^{\alpha}) = d^{-1}\lambda^2/\alpha/^{-1}s_{kj}^{\alpha}$  by the faithfulness of  $\tau$ . (ii) Similarly to (i), we compute

$$d\lambda^{-2}/\alpha/\tau(E_{M_1}(v_{jk}^{\alpha}e_1e_2)S_A(s_{pq}^{\beta})) = /\alpha/\langle s_{pq}^{\beta}, v_{jk}^{\alpha} \rangle$$

$$= /\alpha/\delta_{\alpha\beta} \, \delta_{jp} \, \delta_{kq}$$

$$= \tau(S_A(s_{kj}^{\alpha})S_A(s_{pq}^{\beta})),$$

and since  $\tau \circ S_A = \tau$ , the result follows.

(iii) Using Remark 4.4, we have

$$\begin{aligned}  &= \langle s_{rt}^{\gamma}, \, \lambda^{-1} E_{M'}(e_1 v_{ij}^{\alpha} s_{pq}^{\beta}) > \\ &= \langle s_{pq}^{\beta} s_{rt}^{\gamma}, \, v_{ij}^{\alpha} > \\ &= \delta_{\alpha \gamma} \, \delta_{qr} \, \delta_{ip} \, \delta_{\alpha \beta} \, \delta_{tj} \\ &= \delta_{\alpha \beta} \delta_{ip} \langle s_{rt}^{\gamma}, \, v_{qj}^{\alpha} \rangle. \end{aligned}$$

(iv) Using part (i), we have

$$E_{M_1}((v_{kj}^{\alpha})^*e_1e_2) = E_{M_1}(e_2e_1v_{kj}^{\alpha})^* = d^{-1}\lambda^2/\alpha/^{-1}s_{kj}^{\alpha}$$
  
=  $E_{M_1}(e_2e_1v_{jk}^{\alpha}) = E_{M_1}(S_B(v_{jk}^{\alpha})e_1e_2),$ 

and the result follows from the injectivity of the map  $b \mapsto E_{M_1}(be_1e_2)$ .

Corollary 4.10  $\Delta_B(b^*) = \Delta_B(b)^{*\otimes *}$ , i.e.,  $\Delta_B$  is a \*-preserving map.

*Proof.* Using Lemmas 4.9(iv) and Lemmas 4.5(iv), we have

$$\Delta_B((v_{jk}^{\alpha})^*) = \Delta_B(S_B(v_{kj}^{\alpha})) = \Sigma_i S_B(v_{ij}^{\alpha}) \otimes S_B(v_{ki}^{\alpha})$$
$$= \Sigma_i (v_{ji}^{\alpha})^* \otimes (v_{ik}^{\alpha})^* = \Delta_B(v_{jk}^{\alpha})^{*\otimes *}.$$

**Proposition 4.11**  $v_{ij}^{\alpha}e_1 = \lambda^{-1} \sum_k E_{M_1}(v_{ik}^{\alpha}e_1e_2)H^{-1}v_{kj}^{\alpha}$ .

*Proof.* By Lemma 4.9(ii), all we need to show is

$$v_{ij}^{\alpha}e_1 = d^{-1}\lambda/\alpha/^{-1}\sum_k S_A(s_{ki}^{\alpha})H^{-1}v_{kj}^{\alpha}.$$

Since  $N' \cap M_2$  is spanned by the elements of the form  $v_{rt}^{\gamma} S_A(s_{pq}^{\beta})$ , it suffices to verify that

$$\tau(v_{rt}^{\gamma}S_{A}(s_{pq}^{\beta})v_{ij}^{\alpha}e_{1}) = d^{-1}\lambda/\alpha/^{-1}\sum_{k}\tau(v_{rt}^{\gamma}S_{A}(s_{pq}^{\beta})S_{A}(s_{ki}^{\alpha})H^{-1}v_{kj}^{\alpha}),$$

or, equivalently,

$$E_{M'}(S_A(s_{pq}^{\beta})v_{ij}^{\alpha}e_1) = \delta_{\alpha\beta}\delta_{ip}\lambda d^{-1}/\alpha/^{-1}\sum_k E_{M'}(S_A(s_{kq}^{\beta})H^{-1}v_{kj}^{\alpha}).$$

Using Lemma 4.9(iii), we can reduce the proof to the verification of the relation

$$v_{qj}^{\alpha} = d^{-1}/\alpha/^{-1} \sum_{k} E_{M'}(S_A(s_{kq}^{\alpha}))H^{-1}v_{kj}^{\alpha}.$$

By Lemma 4.9(ii),

$$E_{M'}(S_A(s_{kq}^{\alpha})) = d\lambda^{-2}/\alpha/E_{M'} \circ E_{M_1}(v_{qk}^{\alpha}e_1e_2) = d\lambda^{-1}/\alpha/E_{M_1}(v_{qk}^{\alpha}e_2),$$

therefore the previous relation is equivalent to

$$v_{qj}^{\alpha} = \lambda^{-1} \sum_{k} E_{M_1}(v_{qk}^{\alpha} e_2) H^{-1} v_{kj}^{\alpha}.$$

Since  $H \in Z(B_t)$ , this is precisely Proposition 4.8 with  $b = v_{qj}^{\alpha}$ , so the proof is complete.

Corollary 4.12  $bx = \lambda^{-1} E_{M_1}(b_{(1)}xe_2)H^{-1}b_{(2)}$  for all  $b \in B$  and  $x \in M_1$ .

*Proof.* Proposition 4.11 implies that  $be_1 = \lambda^{-1} E_{M_1}(b_{(1)}e_1e_2)H^{-1}b_{(2)}$  for all  $b \in B$ . As in Remark 4.4, any  $x \in M_1$  can be written as a finite sum  $x = \sum x_i e_2 y_i$  with  $x_i, y_i \in M \subset B'$ , therefore, we have

$$bx = \sum x_i b e_1 y_i = \sum x_i \lambda^{-1} E_{M_1}(b_{(1)} e_1 e_2) H^{-1} b_{(2)} y_i$$
  
=  $\lambda^{-1} E_{M_1}(b_{(1)} \sum x_i e_1 y_i e_2) H^{-1} b_{(2)}$   
=  $\lambda^{-1} E_{M_1}(b_{(1)} x e_2) H^{-1} b_{(2)}$ .

**Proposition 4.13** For all  $x, y \in M_1$ ,

$$E_{M_1}(bxye_2) = \lambda^{-1}E_{M_1}(b_{(1)}xe_2)H^{-1}E_{M_1}(b_{(2)}ye_2).$$

*Proof.* Multiplying the formula from Corollary 4.12 on the right by  $ye_2t$  with  $y, t \in M_1$  and taking  $\tau$  from both sides we get

$$\tau(bxye_2t) = \lambda^{-1}\tau(E_{M_1}(b_{(1)}xe_2)H^{-1}b_{(2)}ye_2t),$$

for all  $t \in M_1$ , from where the result follows.

**Proposition 4.14**  $\Delta_B(bc) = \Delta_B(b)(1 \otimes H^{-1})\Delta_B(c)$ , for all  $b, c \in B$ .

*Proof.* By Lemma 4.1 and Proposition 4.13 we have for all  $a_1, a_2 \in A$ :

from where  $\Delta_B(bc) = b_{(1)}c_{(1)} \otimes b_{(2)}H^{-1}c_{(2)}$  which is the result.

**Proposition 4.15**  $b_{(1)}S_B(b_{(2)}H^{-1}) = \varepsilon_B^t(b)$ .

*Proof.* Using Corollary 4.10, Proposition 4.13 and Proposition 4.2 we have

$$\langle a, b_{(1)} S_B(b_{(2)} H^{-1}) \rangle = d\lambda^{-3} \tau (E_{M_1}(S_B(b_{(2)} H^{-1}) a e_2) e_2 e_1 b_{(1)})$$

$$= d\lambda^{-3} \tau (E_{M_1}(e_2 a b_{(2)} H^{-1}) e_2 e_1 b_{(1)})$$

$$= d\lambda^{-3} \tau (E_{M_1}(e_2 a b_{(2)} H^{-1}) E_{M_1}(e_2 e_1 b_{(1)}))$$

$$= d\lambda^{-2} \tau (E_{M_1}(e_2 a e_1 b))$$

$$= \langle a, \varepsilon_B^t(b) \rangle.$$

The next Corollary summarizes the properties of  $\Delta_B$ ,  $\varepsilon_B$  and  $S_B$ .

Corollary 4.16  $(\Delta_B, \varepsilon_B)$  defines a coalgebra structure on B such that

$$\Delta_B(bc) = \Delta_B(b)(1 \otimes H^{-1})\Delta_B(c)$$
  $\Delta_B(b^*) = \Delta_B(b)^{*\otimes *},$ 

the map  $\varepsilon_B^t$ , defined by  $\varepsilon_B^t(b) = \varepsilon_B(1_{(1)}b)1_{(2)}$ , satisfies the relations

$$b_{(1)} \otimes \varepsilon_B^t(b_{(2)}) = 1_{(1)}b \otimes 1_{(2)}, \qquad b\varepsilon_B^t(c) = \varepsilon_B(b_{(1)}c)b_{(2)},$$

and there is a \*-preserving anti-algebra and anti-coalgebra involution  $S_B$  such that

$$b_{(1)}S_B(b_{(2)}H^{-1}) = \varepsilon_B^t(b),$$

for all  $b, c \in B$ .

### **Theorem 4.17** The following conditions are equivalent:

- (i)  $(B, \Delta_B, \varepsilon_B, S_B)$  is a weak Kac algebra with the Haar projection  $e_2$  and the normalized Haar trace  $\phi(b) = d\tau(b), b \in B$ ,
- (ii) H = 1.

Moreover, if these conditions are satisfied, then  $\lambda^{-1}$  is an integer.

*Proof.* (i) $\Rightarrow$ (ii). If  $\Delta_B$  is an algebra homomorphism, then we must have  $\Delta_B(1) = \Delta_B(1)(1 \otimes H^{-1})$ , and applying  $(\varepsilon_B \otimes \mathrm{id})$  we get  $H^{-1} = 1$ .

(ii) $\Rightarrow$ (i). Clearly, if H = 1, then  $(B, \Delta_B, \varepsilon_B, S_B)$  is a weak Kac algebra. For all  $b \in B$  we have, by Proposition 4.2:

$$be_2 = \lambda^{-1} E_{M_1}(be_2) e_2 = \varepsilon_B^t(b) e_2,$$

and we easily get  $S_B(e_2) = e_2$  and  $\varepsilon_B^t(e_2) = 1$ , so  $e_2$  is the Haar projection in B.

Next, since  $\tau(b) = d^{-1} < e_1, b >$ , we have by Proposition 4.2:

$$\begin{array}{lcl} <\! a,\, \varepsilon_B^t(b_{(1)})\tau(b_{(2)})\!> &=& d^{-1}\!<\! a,\, \varepsilon_B^t(b_{(1)})\!>\!<\! e_1,\, b_{(2)}\!> \\ &=& d^{-1}\!<\! \lambda^{-1}E_M(ae_1),\, b_{(1)}\!>\!<\! e_1,\, b_{(2)}\!> \\ &=& d^{-1}\!<\! \lambda^{-1}E_M(ae_1)e_1,\, b\!> \\ &=& d^{-1}\!<\! ae_1,\, b\!> = <\! a,\, b_{(1)}\tau(b_{(2)})\!>, \end{array}$$

from where we get  $\varepsilon_B^t(b_{(1)})\phi(b_{(2)})=b_{(1)}\phi(b_{(2)})$ . Also,  $\tau(S_B(b))=\tau(b)$  and  $\tau\circ\varepsilon_B^t(b)=\lambda^{-1}\tau(E_{M_1}(be_2))=d^{-1}\varepsilon_B(b)$ , therefore  $\phi\circ S_B=\phi$  and  $\phi\circ\varepsilon_B^t=\varepsilon_B$ . Thus,  $\phi$  is the normalized Haar trace.

If H=1, then the 'trace vector' of the restriction of  $\tau$  on  $B_t$  is given by  $\vec{\tau} = \frac{1}{d}(m_1, m_2, \ldots)$ , so the components of  $\vec{\tau}$  are rational numbers. Let  $\Lambda$  be the inclusion matrix of  $B_t \subset B$ , then

$$\Lambda \Lambda^t \vec{\tau} = \lambda^{-1} \vec{\tau}$$

Since all entries of  $\Lambda\Lambda^t$  and  $\vec{\tau}$  are rational,  $\lambda^{-1}$  must be rational. On the other hand,  $\lambda^{-1}$  is an algebraic integer as an eigenvalue of the integer matrix  $\Lambda\Lambda^t$ . Therefore,  $\lambda^{-1}$  is integer.

**Proposition 4.18** If  $N \subset M$  is a depth 2 inclusion of  $II_1$  factors such that [M:N] is a square free integer (i.e., [M:N] is an integer which has no divisors of the form  $n^2$ , n > 1), then  $N' \cap M = \mathbb{C}$ , and there is a (canonical) minimal action of a Kac algebra B on  $M_1$  such that  $M_2 \cong M_1 \rtimes B$  and  $M = M_1^B$ .

*Proof.* It suffices to show that  $N \subset M$  is irreducible, since the rest follows from [18]. Let q be a minimal projection in  $M' \cap M_1$ , then the reduced inclusion  $qM \subset qM_1q$  is of finite depth [1]. Since any finite depth inclusion is extremal (see, e.g., [16], 1.3.6) we have

$$[qM_1q:qM] = \tau(q)^2[M_1:M] = \tau(q)^2[M:N],$$

by ([15], Corollary 4.5).

We claim that  $\tau(q)$  is a rational number. Indeed, it is well-known that the Perron-Frobenius eigenspace of the non-negative matrix  $\Lambda\Lambda^t$  is 1-dimensional [6]. Letting one of the components of a corresponding eigenvector  $\tau$  to be equal to 1, one can recover the rest of components from the system of linear equations with integer coefficients. Thus, we have that all components of  $\vec{\tau}$  are rational; clearly, the normalization condition  $\tau(1) = 1$  does not change this property.

Therefore, the index  $[qM_1q:qM]$  is a rational number. On the other hand, it must be an algebraic integer, since the depth is finite. Therefore,  $[qM_1q:qM]$  is an integer. Since [M:N] is square free, we must have  $\tau(q)=1$ , which means that  $M'\cap M_1$  and  $N'\cap M$  are 1-dimensional.

**Corollary 4.19** If  $N \subset M$  is a depth 2 inclusion of  $H_1$  factors such that [M:N] = p is prime, then  $N' \cap M = \mathbb{C}$ , and there is an outer action of the cyclic group G = Z/pZ on  $M_1$  such that  $M_2 \cong M_1 \rtimes G$  and  $M = M_1^G$ .

*Proof.* By Proposition 4.18, B must be a Kac algebra of prime dimension p. But it is known that any such an algebra is a group algebra of the cyclic group  $G = \mathbb{Z}/p\mathbb{Z}$  [9].

# 5 Weak $C^*$ -Hopf algebra structure on $M' \cap M_2$ (the general case)

When  $H \neq 1$ ,  $(B, \Delta_B, \varepsilon_B, S_B)$  is no longer a weak Kac algebra (for instance,  $\Delta_B$  is not a homomorphism). However, it is possible to deform the structure maps in such a way that A becomes a weak  $C^*$ -Hopf algebra.

**Definition 5.1** Let us define the following operations on B:

```
\begin{array}{cccc} involution & \dagger: B \to B & : & b^\dagger = S_B(H)^{-1}b^*S_B(H), \\ comultiplication & \tilde{\Delta}: B \to B \otimes B & : & \tilde{\Delta}(b) = (1 \otimes H^{-1})\Delta_B(b) \ i.e., \\ & & b_{(\tilde{1})} \otimes b_{(\tilde{2})} = b_{(1)} \otimes H^{-1}b_{(2)} \\ counit & \tilde{\varepsilon}: B \to \mathbb{C} & : & \tilde{\varepsilon}(b) = \varepsilon_B(Hb), \\ antipode & \tilde{S}: B \to B & : & \tilde{S}(b) = S_B(HbH^{-1}). \end{array}
```

Clearly,  $\dagger$  defines a  $C^*$ -algebra structure on B (we will still denote this new  $C^*$ -algebra by B). Our goal is to show that  $(B, \tilde{\Delta}, \tilde{\varepsilon}, \tilde{S})$  is a weak  $C^*$ -Hopf algebra. The proof of this fact consists of a verification of all the axioms from Section 2. We will need the following technical lemma.

**Lemma 5.2** For all  $b \in B$  and  $z \in B_t$  we have

(i) 
$$\varepsilon_B^t(zb) = z\varepsilon_B^t(b)$$
,

(ii) 
$$b_{(1)}z \otimes b_{(2)} = (bz)_{(1)} \otimes (bz)_{(2)}$$
,

(iii) 
$$b_{(1)}S_B(z)\otimes b_{(2)}=b_{(1)}\otimes b_{(2)}z$$
,

*Proof.* Part (i) is clear from Proposition 4.2. Next, recall that  $B_t = A \cap B$ , and compute

$$\langle a_1, b_{(1)}z \rangle \langle a_2, b_{(2)} \rangle = \langle za_1a_2, b \rangle = \langle a_1a_2, bz \rangle, \quad a_1, a_2 \in A,$$

which gives (ii). Finally, using the properties of  $S_B$  we have

$$\begin{array}{rcl} < a_{2},\,b_{(2)}> & = & < a_{2},\,b_{(2)}>\\ & = & \overline{}< a_{2},\,b_{(2)}>\\ & = & \overline{<(a_{1}z)^{*},\,S_{B}(b_{(1)}^{*})>}< a_{2},\,b_{(2)}>\\ & = & < a_{2},\,b_{(2)}>\\ & = & \\ & = & < a_{2},\,b_{(2)}z>, \end{array}$$

from where (iii) follows.

**Proposition 5.3**  $(B, \tilde{\Delta}, \tilde{\varepsilon})$  is a coalgebra.

*Proof.* Let us check the coassociativity of  $\tilde{\Delta}$ . Using Lemma 5.2 and the fact that  $H \in B_t$  we compute for all  $b \in B$ :

$$(\tilde{\Delta} \otimes id)\tilde{\Delta}(b) = \tilde{\Delta}(b_{(1)}) \otimes H^{-1}b_{(2)} = b_{(1)} \otimes H^{-1}b_{(2)} \otimes H^{-1}b_{(3)}$$

$$= b_{(1)} \otimes (H^{-1}b_{(2)})_{(1)} \otimes H^{-1}(H^{-1}b_{(2)})_{(2)}$$

$$= b_{(1)} \otimes \tilde{\Delta}(H^{-1}b_{(2)}) = (id \otimes \tilde{\Delta})\tilde{\Delta}(b).$$

Next, we check the counit axioms:

$$\begin{array}{rcl} (\tilde{\varepsilon} \otimes \operatorname{id}) \tilde{\Delta}(b) & = & \varepsilon(Hb_{(1)})H^{-1}b_{(2)} = \varepsilon((Hb)_{(1)})H^{-1}(Hb)_{(2)} = b, \\ (\operatorname{id} \otimes \tilde{\varepsilon}) \tilde{\Delta}(b) & = & b_{(1)}\varepsilon(HH^{-1}b_{(2)}) = b. \end{array}$$

# **Proposition 5.4** $\tilde{\Delta}$ is a †-homomorphism.

*Proof.* Using the properties of  $\Delta_B$  from Corollary 4.16 and Lemma 5.2 we have:

$$\tilde{\Delta}(bc) = (1 \otimes H^{-1}) \Delta_B(bc) 
= (1 \otimes H^{-1}) \Delta_B(b) (1 \otimes H^{-1}) \Delta_B(c) = \tilde{\Delta}(b) \tilde{\Delta}(c), 
\tilde{\Delta}(b^{\dagger}) = \tilde{\Delta}(S_B(H)^{-1} b^* S_B(H)) 
= (S_B(H)^{-1} b^* S_B(H))_{(1)} \otimes H^{-1}(S_B(H)^{-1} b^* S_B(H))_{(2)} 
= S_B(H)^{-1} b^*_{(1)} \otimes S_B(H)^{-1} b^*_{(2)} S_B(H) = (S_B(H)^{-1} b_{(1)})^{\dagger} \otimes b^{\dagger}_{(2)} 
= b^{\dagger}_{(1)} \otimes (H^{-1} b_{(2)})^{\dagger} = \tilde{\Delta}(b)^{\dagger \otimes \dagger}.$$

**Proposition 5.5** Let  $\tilde{\varepsilon}^t(b) = \tilde{\varepsilon}(1_{(\tilde{1})}b)1_{(\tilde{2})}$ . Then, for all  $b, c \in B$ :

$$b\tilde{\varepsilon}^t(c) = \tilde{\varepsilon}(b_{(\tilde{1})}c)b_{(\tilde{2})}, \qquad b_{(\tilde{1})} \otimes \tilde{\varepsilon}^t(b_{(\tilde{2})}) = 1_{(\tilde{1})}b \otimes 1_{(\tilde{2})},$$

*Proof.* First, we compute, using Lemma 5.2 and Proposition 4.3:

$$\varepsilon^{t}(b) = \varepsilon(H1_{(1)}b)H^{-1}1_{(2)} = \varepsilon(H_{(1)}b)H_{(2)}H^{-1} = H\varepsilon_{B}^{t}(b)H^{-1} = \varepsilon_{B}^{t}(b).$$

Using this relation, Lemma 5.2, and properties of  $\varepsilon_B^t$  from Corollary 4.16 we have

$$\begin{array}{lcl} b_{(\tilde{1})} \otimes \tilde{\varepsilon}^t(b_{(\tilde{2})}) & = & b_{(1)} \otimes \varepsilon_B^t(H^{-1}b_{(2)}) = b_{(1)} \otimes H^{-1}\varepsilon_B^t(b_{(2)}) \\ & = & 1_{(1)}b \otimes H^{-1}1_{(2)} = 1_{(\tilde{1})}b \otimes 1_{(\tilde{2})}, \\ b\tilde{\varepsilon}^t(c) & = & b\varepsilon_B^t(c) = H^{-1}(Hb)\varepsilon_B^t(c) \\ & = & H^{-1}\varepsilon_B((Hb)_{(1)}c)(Hb)_{(2)} = \varepsilon_B(Hb_{(1)}c)H^{-1}b_{(2)} \\ & = & \tilde{\varepsilon}(b_{(\tilde{1})}c)b_{(\tilde{2})}. \end{array}$$

**Proposition 5.6**  $\tilde{S}$  is a linear anti-multiplicative and anti-comultiplicative map such that

$$b_{(\tilde{1})}\tilde{S}(b_{(\tilde{2})}) = \tilde{\varepsilon}^t(b).$$

Moreover,  $(\tilde{S} \circ \dagger)^2 = id$  and  $\tilde{S}^2(b) = GbG^{-1}$ , where  $G = \tilde{S}(H)^{-1}H$ .

*Proof.* Using Corollary 4.16, Lemma 5.2 and definitions of  $\tilde{S}$  and  $\dagger$ , we have :

$$\begin{split} \tilde{S}(bc) &= S_B(HbcH^{-1}) = S_B(HcH^{-1})S_B(HbH^{-1}) = \tilde{S}(c)\tilde{S}(b), \\ \tilde{S}(b)_{(\tilde{2})} \otimes \tilde{S}(b)_{(\tilde{1})} &= H^{-1}S_B(HbH^{-1})_{(2)} \otimes S_B(HbH^{-1})_{(1)} \\ &= S_B(HbH^{-1})_{(2)} \otimes S_B(H^{-1})S_B(HbH^{-1})_{(1)} \\ &= S_B((HbH^{-1})_{(1)}) \otimes S_B(H^{-1})S_B((HbH^{-1})_{(2)}) \\ &= S_B(Hb_{(1)}H^{-1}) \otimes S_B(b_{(2)}H^{-1}) \\ &= \tilde{S}(b_{(1)}) \otimes \tilde{S}(H^{-1}b_{(2)}) = \tilde{S}(b_{(\tilde{1})}) \otimes \tilde{S}(b_{(\tilde{2})}), \\ b_{(\tilde{1})}\tilde{S}(b_{(\tilde{2})}) &= b_{(1)}S_B(b_{(2)}H^{-1}) = \varepsilon_B^t(b) = \tilde{\varepsilon}^t(b), \end{split}$$

from where the first part of Proposition follows. Next, we can compute

$$\begin{split} \tilde{S}(b^{\dagger}) &= S_B(Hb^{\dagger}H^{-1}) = S_B(HS_B(H)^{-1}b^*S_B(H)H^{-1}) \\ &= S_B(H)^{-1}HS_B(b^*)H^{-1}S_B(H), \\ \tilde{S}(\tilde{S}(b^{\dagger})^{\dagger}) &= \tilde{S}((S_B(H)^{-1}HS_B(b^*)H^{-1}S_B(H))^{\dagger}) = \tilde{S}(H^{-1}S_B(b)H) \\ &= S_B(S_B(b)) = b, \end{split}$$

therefore  $(\tilde{S} \circ \dagger)^2 = \text{id.}$  Finally, since  $S_B(H) = \tilde{S}(H)$ , we get

$$\tilde{S}^2(b) = \tilde{S}(S_B(HbH^{-1})) = S_B(HS_B(HbH^{-1})H^{-1})$$
  
=  $S_B(H)^{-1}HbH^{-1}S_B(H) = GbG^{-1}$ .

Thus, we can state the main result of this section.

**Theorem 5.7**  $(B, \tilde{\Delta}, \tilde{\varepsilon}, \tilde{S})$  is a weak  $C^*$ -Hopf algebra with the Haar projection  $e_2H$  and normalized Haar functional  $\tilde{\phi}(b) = \phi(H\tilde{S}(H)b) = d\tau(\tilde{S}(H)Hb)$  (cf. Theorem 4.17).

*Proof.* It follows from Propositions 5.3 – 5.6 that  $(B, \tilde{\Delta}, \tilde{\varepsilon}, \tilde{S})$  is a weak  $C^*$ -Hopf algebra.

The properties of  $e_2$  established in Theorem 4.17 and Proposition 4.2 give

$$be_{2}H = \varepsilon_{B}^{t}(b)e_{2}H = \tilde{\varepsilon}^{t}(b)e_{2}H,$$
  

$$\tilde{\varepsilon}^{t}(e_{2}H) = \varepsilon_{B}^{t}(e_{2}H) = \lambda^{-1}E_{M_{1}}(e_{2}He_{2})$$
  

$$= \lambda^{-1}E_{M_{1}}(E_{M}(H)e_{2}) = E_{M}(H) = 1,$$

since  $\tilde{\varepsilon}^t = \varepsilon_B^t$  by Proposition 5.5, and  $E_M(H) = \tau(H) 1 = 1$  by Corollary 4.7. Using Lemma 5.2(ii), and taking into account that  $\tilde{S}^2|_{B_t} = \mathrm{id}_{B_t}$  (Proposition 5.6), we compute for all  $b \in B$ ,  $z \in B_t$ :

$$\tilde{\varepsilon}^t(\tilde{S}(z)) = \tilde{S}(z)_{(\tilde{1})} \tilde{S}(\tilde{S}(z)_{(\tilde{2})}) = 1_{(\tilde{1})} \tilde{S}(\tilde{S}(z)1_{(\tilde{2})}) = \tilde{S}^2(z) = z,$$

therefore  $e_2\tilde{S}(H) = e_2\tilde{\varepsilon}^t(\tilde{S}(H))^* = e_2H$ . Since  $S_B(e_2) = e_2$  and  $S_B(H) = \tilde{S}(H)$ , using the above relation, we get

$$\tilde{S}(e_2H) = \tilde{S}(H)^{-1}S_B(e_2H)\tilde{S}(H) = e_2\tilde{S}(H) = e_2H.$$

Thus  $\tilde{S}(e_2H) = e_2H$ . Also we have :

$$(e_2 H)^2 = E_M(H)e_2 H = e_2 H,$$
  
 $(e_2 H)^{\dagger} = \tilde{S}(H)^{-1} H e_2 \tilde{S}(H) = e_2 \tilde{S}(H) = e_2 H.$ 

Therefore,  $e_2H$  is an  $\tilde{S}$ -invariant projection. This proves that  $e_2$  is the Haar projection of B.

Next, using Lemma 5.2 and the properties of the trace  $\phi$  from the proof of Theorem 4.17 we have

$$\begin{split} \tilde{\varepsilon}^t(b_{(\tilde{1})})\tilde{\phi}(b_{(\tilde{2})}) &= \varepsilon_B^t(b_{(1)})\tilde{\phi}(H^{-1}b_{(2)}) = \varepsilon_B^t(b_{(1)})\phi(\tilde{S}(H)b_{(2)}) \\ &= \varepsilon_B^t((b\tilde{S}(H))_{(1)})\phi((b\tilde{S}(H))_{(2)}) = (b\tilde{S}(H)))_{(1)}\phi((b\tilde{S}(H))_{(2)}) \\ &= b_{(1)}\phi(\tilde{S}(H)b_{(2)}) = b_{(1)}\phi(H\tilde{S}(H)H^{-1}b_{(2)}) \\ &= b_{(1)}\tilde{\phi}(H^{-1}b_{(2)}) = b_{(\tilde{1})}\tilde{\phi}(b_{(\tilde{2})}), \\ \tilde{\phi}(\tilde{S}(b)) &= \phi(H\tilde{S}(H)\tilde{S}(H)^{-1}S_B(b)\tilde{S}(H)) = \phi(H\tilde{S}(H)S_B(b)) \\ &= \phi(b\tilde{S}(H)H) = \tilde{\phi}(b), \\ \tilde{\phi}(\tilde{\varepsilon}^t(b)) &= \phi(\tilde{S}(H)H\varepsilon_B^t(b)) = \tau(\tilde{S}(H))\phi(\varepsilon_B^t(Hb)) = \varepsilon_B(Hb) = \tilde{\varepsilon}(b), \end{split}$$

therefore,  $\tilde{\phi}$  is the normalized Haar functional on B.

- **Remark 5.8** (i) The non-degenerate duality <, > induces on  $A = N' \cap M_1$  the structure of the weak  $C^*$ -Hopf algebra dual to B.
  - (ii) The weak  $C^*$ -Hopf algebra B is biconnected, since the inclusion  $B_t = M' \cap M_1 \subset B = M' \cap M_2$  is connected ([7], 4.6.3) and  $B_t \cap B_s = (M' \cap M_1) \cap (M'_1 \cap M_2) = \mathbb{C}$ . Thus, only biconnected weak Hopf  $C^*$ -algebras arise as symmetries of finite index depth 2 inclusions of II<sub>1</sub> factors.

(iii) If  $\lambda^{-1}$  is not integer, then  $\tilde{S}$  has infinite order. Indeed, the canonical element G implementing the square of the antipode in Proposition 5.6 is positive, so if  $\tilde{S}^{2n} = \text{id}$  for some n, then  $G^n$  belongs to Z(B), the center of B. Taking the n-th root, we get that  $G \in Z(B)$ , which means that  $S^2 = \text{id}$ , and B is a weak Kac algebra, which is in contradiction with Theorem 4.17.

# 6 Action of B on $M_1$ .

Note that in terms of  $\tilde{\Delta}$ , Proposition 4.13 means that

$$E_{M_1}(bxye_2) = \lambda^{-1}E_{M_1}(b_{(\tilde{1})}xe_2)E_{M_1}(b_{(\tilde{2})}ye_2),$$

for all  $b \in B$  and  $x, y \in M_1$ . This suggests the following definition of the action of B on  $M_1$ .

**Proposition 6.1** The map  $\triangleright : B \otimes M_1 \to M_1$ :

$$b \triangleright x = \lambda^{-1} E_{M_1}(bxe_2)$$

defines a left action of B on  $M_1$  (cf. [18], Proposition 17).

*Proof.* Clearly, the above map defines a left B-module structure on  $M_1$ , since  $1 \triangleright x = x$  and

$$b \triangleright (c \triangleright x) = \lambda^{-2} E_{M_1}(bE_{M_1}(cxe_2)e_2) = \lambda^{-1} E_{M_1}(bcxe_2) = (bc) \triangleright x.$$

Next, using Proposition 4.13 we get

$$\begin{array}{rcl} b \triangleright xy & = & \lambda^{-1} E_{M_1}(bxye_2) = \lambda^{-2} E_{M_1}(b_{(\tilde{1})}xe_2) E_{M_1}(b_{(\tilde{2})}ye_2) \\ & = & (b_{(\tilde{1})} \triangleright x)(b_{(\tilde{2})} \triangleright y). \end{array}$$

By Remark 4.4 and properties of  $S_B$  we also get

$$\tilde{S}(b)^{\dagger} \triangleright x^{*} = \lambda^{-1} E_{M_{1}}(\tilde{S}(b)^{\dagger} x^{*} e_{2}) 
= \lambda^{-1} E_{M_{1}}(S_{B}(H)^{-1} S_{B}(HbH^{-1})^{*} S_{B}(H) x^{*} e_{2}) 
= \lambda^{-1} E_{M_{1}}(S_{B}(b^{*}) x^{*} e_{2}) 
= \lambda^{-1} E_{M_{1}}(e_{2} x^{*} b^{*}) = \lambda^{-1} E_{M_{1}}(bx e_{2})^{*} = (b \triangleright x)^{*}.$$

Finally,

$$b \triangleright 1 = \lambda^{-1} E_{M_1}(be_2) = \lambda^{-1} E_{M_1}(\lambda^{-1} E_{M_1}(be_2)e_2) = \tilde{\varepsilon}^t(b) \triangleright 1,$$
 and  $b \triangleright 1 = 0$  iff  $\tilde{\varepsilon}^t(b) = \lambda^{-1} E_{M_1}(be_2) = 0$ .

**Proposition 6.2**  $M_1^B = M$ , i.e. M is the fixed point subalgebra of  $M_1$ .

*Proof.* If  $x \in M_1$  is such that  $b \triangleright x = \tilde{\varepsilon}^t(b) \triangleright x$  for all  $b \in B$ , then  $E_{M_1}(bxe_2) = E_{M_1}(\varepsilon_B^t(b)xe_2) = E_{M_1}(be_2)x$ . Taking  $b = e_2$ , we get  $E_M(x) = x$  which means that  $x \in M$ . Thus,  $M_1^B \subset M$ .

Conversely, if  $x \in M$ , then x commutes with  $e_2$  and

$$b \triangleright x = \lambda^{-1} E_{M_1}(be_2 x) = \lambda^{-1} E_{M_1}(\lambda^{-1} E_{M_1}(be_2) e_2 x) = \varepsilon_B^t(b) \triangleright x,$$

therefore  $M_1^B = M$ .

**Proposition 6.3** The map  $\theta: [x \otimes b] \mapsto x\tilde{S}(H)^{\frac{1}{2}}b\tilde{S}(H)^{-\frac{1}{2}}$  defines a von Neumann algebra isomorphism between  $M_1 \bowtie B$  and  $M_2$ .

*Proof.* By definition of the action  $\triangleright$  we have :

$$\theta([x(z \triangleright 1) \otimes b]) = x\tilde{S}(H)^{\frac{1}{2}}\lambda^{-1}E_{M_1}(ze_2)b\tilde{S}(H)^{-\frac{1}{2}}$$
  
=  $x\tilde{S}(H)^{\frac{1}{2}}zb\tilde{S}(H)^{-\frac{1}{2}} = \theta([x \otimes zb]),$ 

for all  $x \in M_1$ ,  $b \in B$ ,  $z \in B_t$ , so  $\theta$  is a well defined linear map from  $M_1 \rtimes B = M_1 \otimes_{B_t} B$  to  $M_2$ . It is surjective since an orthonormal basis of  $B = M' \cap M_2$  over  $B_t = M' \cap M_1$  is also a basis of  $M_2$  over  $M_1$  ([16], 2.1.3).

Let us check that  $\theta$  is an involution-preserving isomorphism of algebras. Note that from Corollary 4.12 we have  $bx = (b_{(\tilde{1})} \triangleright x)b_{(\tilde{2})}$ . This allows us to compute, for all  $x, y \in M_1$  and  $b, c \in B$ :

$$\begin{array}{lll} \theta([x \otimes b][y \otimes c]) & = & \theta([x(b_{(\tilde{1})} \rhd y) \otimes b_{(\tilde{2})}c]) \\ & = & x(b_{(\tilde{1})} \rhd y)\tilde{S}(H)^{\frac{1}{2}}b_{(\tilde{2})}c\tilde{S}(H)^{-\frac{1}{2}} \\ & = & x((\tilde{S}(H)^{\frac{1}{2}}b)_{(\tilde{1})} \rhd y)(\tilde{S}(H)^{\frac{1}{2}}b)_{(\tilde{2})}c\tilde{S}(H)^{-\frac{1}{2}} \\ & = & x\tilde{S}(H)^{\frac{1}{2}}byc\tilde{S}(H)^{-\frac{1}{2}} \\ & = & x\tilde{S}(H)^{\frac{1}{2}}b\tilde{S}(H)^{-\frac{1}{2}}y\tilde{S}(H)^{\frac{1}{2}}c\tilde{S}(H)^{-\frac{1}{2}} \\ & = & \theta([x \otimes b])\theta([y \otimes c]), \\ \theta([x \otimes b]^*) & = & (b_{(1)}^{\dagger} \rhd x^*)\tilde{S}(H)^{\frac{1}{2}}b_{(2)}^{\dagger}\tilde{S}(H)^{-\frac{1}{2}} \\ & = & (\tilde{S}(H)^{\frac{1}{2}}b^{\dagger})_{(\tilde{1})} \rhd x^*)(\tilde{S}(H)^{\frac{1}{2}}b^{\dagger})_{(\tilde{2})}\tilde{S}(H)^{-\frac{1}{2}} \\ & = & \tilde{S}(H)^{\frac{1}{2}}b^{\dagger}\tilde{S}(H)^{-\frac{1}{2}}x^* \\ & = & \tilde{S}(H)^{-\frac{1}{2}}b^*\tilde{S}(H)^{\frac{1}{2}}x^* \\ & = & (x\tilde{S}(H)^{\frac{1}{2}}b\tilde{S}(H)^{-\frac{1}{2}})^* = \theta([x \otimes b])^*. \end{array}$$

It is known that  $M_1 \rtimes B$  is a II<sub>1</sub> factor iff  $M_1^B$  is [13]. Now the injectivity of  $\theta$  follows from the simplicity of II<sub>1</sub> factors (see, e.g., the appendix of [8]). Thus,  $\theta$  is a von Neumann algebra isomorphism.

- **Remark 6.4** (i) The action of B constructed in Proposition 6.1 is minimal, since we have  $M'_1 \cap M_1 \rtimes B = M'_1 \cap M_2 = B_s$  by Proposition 6.3.
  - (ii) If the inclusion  $N \subset M$  is irreducible, then B is a usual Kac algebra (i.e., a Hopf  $C^*$ -algebra) and we recover the well-known result proved in [18], [10], and [3].

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